Temporal population variability in local forest communities has mixed effects on tree species richness across a latitudinal gradient

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100 Abstract

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Among the local processes that determine species diversity in ecological communities, 102 fluctuation-dependent mechanisms that are mediated by temporal variability in the 103 abundances of species populations have received significant attention. Higher temporal 104 variability in the abundances of species populations can increase the strength of temporal 105 niche partitioning but can also increase the risk of species extinctions, such that the net effect 106 on species coexistence is not clear. We quantified this temporal population variability for tree 107 species in 21 large forest plots and found much greater variability for higher latitude plots 108 with fewer tree species. A fitted mechanistic model showed that among the forest plots, the 109 net effect of temporal population variability on tree species coexistence was usually negative, 110 but sometimes positive or negligible. Therefore, our results suggest that temporal variability 111 in the abundances of species populations has no clear negative or positive contribution to the 112 latitudinal gradient in tree species richness. 113

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116 Introduction

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Variation in species diversity across the biosphere has fascinated ecologists for decades 118 (Wallace 1878; Fischer 1960; Hutchinson 1961; Pianka 1966; Connell 1978; Palmer 1994; 119 Chesson 2000; Hubbell 2001; Mittelbach et al. 2007; Levine & HilleRisLambers 2009; 120 Ricklefs & He 2016). At the regional scale, community diversity is moderated by processes 121 122 that act on large spatiotemporal scales, such as biogeographic and evolutionary processes (Rickefs 1987, 2004). A proportion of species in the regional community can disperse to a 123 particular location, where they are subjected to a variety of localized abiotic and biotic 124 processes (Fig. 1; HilleRisLambers et al. 2012). These local processes filter the dispersing 125 species, resulting in a locally coexisting subset of species. Laboratory experiments and 126 ecological theory suggest that under the most basic conditions of a constant environment, few 127 limiting resources and a lack of dispersal from a regional community, only a few species will 128 coexist in a local community (Gause 1934). This observation has motivated research into 129 130 processes that permit the coexistence of tens to hundreds of species in natural local

131 communities, including lake plankton (Smith *et al.* 2005), reef corals (Roberts *et al.* 2002)

- and rainforest trees (Anderson-Teixeira *et al.* 2015).
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At a local scale, species coexistence can be facilitated by "fluctuation-dependent 134 mechanisms" (Chesson 1994, 2000, 2018), which are a class of stabilizing mechanisms. 135 Stabilizing mechanisms in general attenuate differences in the fitness of species in a local 136 community, thereby helping to maintain local species richness (Chesson 2000, 2018; Levine 137 et al. 2017; Barabás et al. 2018). Temporal fluctuation-dependent mechanisms in particular 138 139 arise when a temporally changing environment causes changes in resource use among species in a local community over time, and hence variation in the abundances of the species 140 populations over time. This results in "temporal niches" that may allow rare species in a local 141 community to persist (Hutchinson 1961; Grubb 1977; Chesson 1994, 2000; Adler & Drake 142 2008). While the ecological theory of temporal niches suggest a positive effect of 143 environmental fluctuations on species richness (Hutchinson 1961; Grubb 1977; Chesson 144 1994, 2000; Adler & Drake 2008), another ecological theory suggests just the opposite – that 145 146 greater fluctuations in local environmental conditions can erode species richness, by periodically reducing species population abundances and thus increasing the risk of stochastic 147 extinctions (Leigh 1981; Lande 1993; Adler & Drake 2008; Danino et al. 2016). The net 148 effect of these two opposing factors will determine how environmentally-induced temporal 149 changes in species abundances contribute to local species richness. 150

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Recent theoretical studies (Adler & Drake 2008; Danino et al. 2016) suggest that temporal 152 niche effects generally dominate stochastic extinction effects when temporal environmental 153 variance is low, with the opposite occurring when temporal environmental variance is 154 sufficiently high. To the extent that greater temporal environmental variance increases the 155 average amount by which the abundance of a species population changes over time, i.e. what 156 we call "temporal population variability", the theoretical studies (Adler & Drake 2008; 157 Danino et al. 2016) have suggested that a small amount of temporal population variability 158 generally has a net positive effect on species coexistence and hence species richness in local 159 communities, but that a large amount of temporal population variability generally has a net 160 negative effect. An unresolved question is whether this net effect tends to be positive or 161 negative in natural populations. Several studies have used empirical data to quantify the 162 stabilizing strength of temporal fluctuation-dependent mechanisms in a single community 163 (Cáceres 1997; Adler et al. 2006; Angert et al. 2009; Usinowicz et al. 2012). Although these 164

studies shed light on how important these mechanisms are for coexistence of species within a single community, they do not show how important they are in maintaining patterns of species richness across communities. A recent empirical study (Vásquez *et al.* 2004) did measure temporal population variability in multiple communities, but did not relate this to mechanisms that help to maintain species richness.

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Here, we investigated the effect of temporal population variability on species richness in 21 171 forest tree communities, using a global dataset with repeated tree censuses (Anderson-172 173 Teixeira et al. 2015). The tree communities span a large latitudinal range of 0.7°S to 45.6°N, with tree species richness showing a declining trend away from the tropics (Appendix S1 in 174 Supporting Information). We first performed regression analyses to investigate whether there 175 was a corresponding latitudinal gradient in temporal population variability of tree species in 176 the forest communities. We then quantified the net effect of this variability on tree species 177 coexistence in the communities by fitting a mechanistic community model (Danino et al. 178 2016) to the observed temporal abundance dynamics of tree species populations at each plot, 179 180 and used the fitted model to predict the effects of temporal population variability on extinction rates of tree species in the communities. The results from all these analyses 181 182 allowed us to assess whether temporal population variability makes a clear negative or positive contribution to the latitudinal gradient in tree species richness. 183

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186 Materials and methods

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188 Tree census data

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We used data from 21 of the 67 long-term forest plots from the Center for Tropical Forest 190 Science–Forest Global Earth Observatory (CTFS–ForestGEO) network (Fig. 2). We selected 191 these 21 forest plots because they had at least two tree censuses and a minimum area of 16 192 ha. Data from multiple censuses allowed calculations of temporal population variability. 193 194 Using the lower limit of 16 ha on plot area helped to reduce the number of small populations and hence the effects of demographic variance (Hubbell 2001), which could complicate 195 interpretation of drivers of the observed temporal population variability. Here, demographic 196 variance refers to variation in the realized demographic rates of species populations due to 197

the random sampling of demographic events for discrete individuals, in contrast to temporal 198 environmental variance that refers to variation in the intrinsic demographic rates of species 199 populations over time (Chisholm et al. 2014). The 21 plots covered a total of 650 ha in four 200 continents and spanned a wide range of climatic and edaphic conditions (Anderson-Teixeira 201 et al. 2015). Approximately 3 million trees were censused in the 21 forest plots, with 202 repeated censuses over periods of 6–31 yr. Each plot was censused according to a standard 203 protocol, whereby all freestanding woody plants with diameter-at-breast-height (DBH; 1.3 m 204 from the ground) ≥ 1 cm were identified to the lowest taxonomic level possible, mapped and 205 206 recorded (Condit 1998).

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Summary information for the 21 plots is provided in Appendix S1, together with further
details on how the tree census data were processed.

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211 Investigating latitudinal trends in temporal population variability

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We performed regression analyses to quantify how temporal population variability of tree 213 species in the 21 forest plots varied with latitude, considering trees with DBH \geq 1 cm. 214 215 Metrics of temporal population variability could potentially be biased by the total tree abundance varying substantially across plots and, to a lesser extent, censuses, because 216 changes in total tree abundance alter the strength of density-dependent effects across plots 217 (Appendix S2). Thus, we rarefied (sampled without replacement) the data for each census in 218 each plot to a sample size of $N_{\min} = 15,299$, which was the minimum observed total tree 219 abundance at any plot in any census (this minimum abundance occurred in the third census at 220 Mudumalai plot). Although $N_{\min} = 15,299$ was usually much smaller than the number of 221 trees in a census (by a factor of around nine on average), at each plot a sample of 15,299 222 223 trees was large enough to give species population sizes up to hundreds or thousands of individuals, with dynamics that were not dominated by demographic variance (Chisholm et 224 al. 2014). 225

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After rarefaction to the same number of individuals in each census ($N_{min} = 15,299$), there remained another potential source of bias that must be accounted for: given a fixed total tree abundance, abundant species were over-represented in species-poor plots, while rare species were over-represented in species-rich plots. The resulting bias was problematic because a

greater value of a temporal population variability metric at a plot could simply reflect species 231 that were generally more abundant (abundant species tend to fluctuate more in absolute 232 abundance; Lande 2003; Chisholm et al. 2014) rather than greater intrinsic temporal 233 variability of the community (Appendix S2), which is what we were interested in. To remove 234 this confounding factor, we corrected the rarefied changes in species abundances to account 235 for the different sets of initial species abundances in each pair of consecutive censuses, by 236 only including changes in species abundances for which the corresponding initial species 237 abundances are common to all plots (Appendices S2 and S3). 238

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To further test the robustness of our analyses to other possible sources of bias, we used 240 another rarefaction procedure that standardized the sample area as well as the number of 241 individuals, and that also standardized the number of individuals in a way that conserved the 242 pairwise temporal correlations of species abundances in the dataset for a plot (Appendix S3). 243 Standardizing the sample area removed bias due to the strength of ecological processes 244 changing at different spatial scales (Levin 1992), whereas the conservation of temporal 245 246 correlations was potentially important because these correlations are associated with the strength of temporal fluctuation-dependent mechanisms (Chesson 2000, 2018; Barabás et al. 247 2018). Standardization of the sample area resulted in fewer trees in the rarefied dataset for 248 each plot: an average of 4,713 individuals across censuses (Appendix S3). In turn, this 249 resulted in fewer (initial) species abundances common to all plots compared with the 250 previous method of rarefaction – 16 compared with 222. Excluding the Mudumalai plot 251 252 increased the number of species abundances common to all remaining plots from 16 to 20; excluding the Luquillo, Palanan and SERC plots as well further increased the number to 194 253 (Appendix S3). Thus, to test robustness to the number of species abundances common to all 254 plots, we performed three regressions using data rarefied in this way: one using data from all 255 21 plots, one using data from the 20 plots that excluded Mudumalai, and one using data from 256 the 17 plots that excluded Mudumalai, Luquillo, Palanan and SERC. 257

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As our indicator of temporal population variability of tree species in each plot *i*, we used the mean absolute change in species abundance in a year, $\overline{\Delta N_i}$. For a dataset from plot *i*, we calculated this indicator by first computing the absolute change in abundance of each tree species for each pair of consecutive censuses. We then divided each absolute change by the corresponding inter-census interval length in years and calculated the mean: 264

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$$\overline{\Delta N_i} = \frac{1}{C_i - 1} \sum_{j=1}^{C_i - 1} \frac{1}{S_{i,j}} \sum_{k=1}^{S_{i,j}} \frac{|N_{i,j+1,k} - N_{i,j,k}|}{T_{i,j+1,k} - T_{i,j,k}},$$
(1)

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where C_i is the number of censuses of plot *i*, $S_{i,j}$ is the total number of species in census *j* at 267 plot i for the dataset, $N_{i,i,k}$ is the abundance of tree species k in census j at plot i for the 268 dataset, and T_{iik} is the mean census date of individuals of species k in census j at plot i. If a 269 species k was present in census j but absent in census j + 1 at plot i, then $T_{i,j+1,k}$ was set 270 equal to the mean census date of individuals of all other species in census j + 1 at plot *i*. For 271 each plot *i*, we calculated the average $\overline{\Delta N_i}$ over 1,000 rarefied datasets with a standardized 272 number of individuals and correction for different sets of initial species abundances among 273 plots, thus producing the metric $\overline{\Delta N_{r,c,i}}$. For each plot *i*, we repeated the calculation for 274 rarefied datasets with a standardized area and number of individuals, conservation of the 275 pairwise temporal correlations of species abundances, and correction for different sets of 276 initial species abundances among plots. This produced the metric $\overline{\Delta N_{ra, c,i}}$ for plot *i*. 277

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To determine the latitudinal trend in temporal population variability for the 21 forest plots, we computed separate linear regressions of $\overline{\Delta N_{r,c}}$ and $\overline{\Delta N_{ra,c}}$ against absolute latitude. For each regression, a log-transformation was applied to both variables to reduce their skewness and help meet assumptions of normality and homoscedasticity (see Appendix S4 for details).

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284 Relating temporal population variability to mechanisms maintaining species richness

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For the forest plots, we related temporal population variability to mechanisms maintaining 286 species richness, by fitting a dynamic, mechanistic community model to the observed values 287 of temporal population variability and temporal correlations of species abundances in the 288 289 rarefied data, and then using the fitted models to predict the rates of species extinction. Our mechanistic model represented a local community of J individuals competing for resources 290 291 under temporally changing environmental conditions. In a model community, each species had a fitness value that determined its recruitment rate in the prevailing environmental 292 conditions. The fitness value of a species at the start of a simulation was drawn randomly 293 from a lognormal distribution with mean 1 and variance A. At the beginning of each 294

subsequent time-step in the simulation, the fitness values for all species were redrawn 295 independently from the lognormal distribution with probability $1/\tau$, which indirectly 296 represented changes in environmental conditions. Therefore, τ measured the temporal 297 correlation in environmental conditions. The model we used captured the effects of 298 deterministic selection (arising from fitness differences among species in a given 299 environment; Vellend 2010), stochastic ecological drift (Hubbell 2001) and stochastic local-300 scale environmental fluctuations over time (Lande 2003; Chisholm et al. 2014; Kalvuzhny et 301 al. 2014, 2015; Fung et al., 2016). Fig. 3 provides a schematic diagram of the model. 302

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The model we used was the same as that of Danino et al. (2016) except that we allowed J to 304 vary over time and did not allow the introduction of new species over time. Not allowing the 305 introduction of new species into a model community meant that the community did not 306 receive immigrants from an outside source. This was appropriate for our analysis, as we 307 308 wanted to isolate the effects of local temporal population variability in tree species from regional effects introduced by immigration. For each plot, we fitted the model to the census 309 310 data rarefied by area and the number of individuals, in a way that conserved the temporal correlations of species abundances within the plot. When fitting our model to the rarefied 311 data, we assumed that the observed abundance fluctuations over the census periods 312 313 approximated those that would be found in the absence of immigration. This assumption was justified when the number of individuals in the rarefied dataset was much larger than the 314 square root of the number of individuals, because the number of immigrant propagules 315 should scale roughly with the perimeter (i.e., the square root) of the area occupied by the 316 individuals whereas the number of non-immigrant propagules scales with the area. To ensure 317 that this assumption was valid, when fitting the model we excluded the Mudumalai plot, 318 which had the fewest censused individuals. This increased the mean sample size after 319 rarefaction from 4,713 to 13,000, such that the number of individuals at each plot was two 320 orders of magnitude greater than the square root of the number of individuals. 321

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To fit the model to data from a plot, we simulated the model for different combinations of *A* and τ , representing different environmental regimes. Specifically, for each plot, we performed simulations for all combinations of 32 values of *A* in the range [0, 10³] and 14 values of τ in the range [1, 10⁴], representing a large parameter space spanning several orders of magnitude in *A* and τ . During each simulation for a plot, the initial species composition

was set equal to the species composition in the first census of a rarefied dataset. We then 328 simulated model dynamics for a length of time equal to the entire census period for the plot, 329 with J for the model community changing linearly in between censuses to match the number 330 of individuals in the rarefied dataset at each census. At the end of each simulation, we 331 calculated temporal population variability from the simulated data using eq. (1), as well as 332 the mean cumulative distribution function (cdf) of pairwise temporal correlations of species 333 abundances over a pair of consecutive censuses. For each plot, we performed 1,000 334 simulations for each of the $32 \times 14 = 448$ combinations of A and τ , and determined the 335 combination that gave the lowest typical error, where the error is defined as the average of (i) 336 the percentage absolute difference between the model and observed temporal population 337 variability and (ii) the percentage absolute difference between the model and observed cdf of 338 temporal correlations. In addition, we determined the combinations of A and τ that produced 339 similar errors to the combination giving the lowest typical error – we refer to all these as the 340 "best-fit combinations" (see Appendix S5 for details, including a definition of "typical 341 error"). 342

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For each plot and each combination of A and τ , we ran a further 100 simulations, each lasting 344 the entire census period (as described in the previous paragraph) and a further 2×10^5 time-345 steps, which was sufficient time for up to tens to hundreds of species extinctions to occur. At 346 the end of each simulation, we recorded the number of species that were extinct. During the 347 last 2×10^5 time-steps, J was assumed to remain constant, such that it remained equal to the 348 sample size in the last census. Different assumptions that involve varying J according to 349 350 some pattern would likely have little effect on the relative number of species extinctions that occurred among different environmental regimes (combinations of A and τ) within a plot. 351 However, because the sample size in the last census was different for each plot (only the 352 mean sample size across censuses was approximately the same among plots; Appendix S3), 353 simulations for different plots had different J in the last 2×10^5 time-steps (which 354 represented 0.655–1.31 generations). Because species extinction times change with J (e.g., 355 Chisholm & O'Dwyer 2014; Danino et al. 2018), these simulations did not allow an unbiased 356 comparison of species extinction risk across different plots. Furthermore, for different plots J357 might vary in different ways beyond the census periods. For these reasons, we restricted 358 interpretation of our simulation results for a plot to patterns of species extinction risk within 359

that plot. Appendix S5 provides further details of the dynamic model, how it was simulated,and how it was fitted to the data.

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We found that for 13 of the 20 plots, the best-fit models for the observed data gave low errors 363 (see *Results*). For these 13 plots, we used our mechanistic model results to investigate 364 whether greater temporal population variability was associated with a greater species 365 extinction rate within each plot. To do this, we noted that temporal population variability 366 almost always increased with A and τ in the model (Fig. S13 in Appendix S5), such that we 367 can use these two parameters as drivers of temporal population variability. For each plot, we 368 calculated the mean number of extinctions (across 100 simulations) for the different 369 combinations of A and τ described above. Then, starting with the best-fit combinations of A 370 and τ , we determined what the effect of further increases in either parameter – and hence in 371 temporal population variability – would be on extinctions. For the model tree community 372 corresponding to a particular forest plot, if increases in temporal population variability due to 373 increases in a parameter led to more extinctions, then this suggested that the real tree 374 community in the plot was in a regime where increases in temporal population variability due 375 to that parameter have a net negative effect on species coexistence and richness. Conversely, 376 if increases in temporal population variability due to increases in a parameter led to fewer 377 extinctions in the model tree community, then this suggested that the real tree community 378 was in a regime where increases in temporal population variability due to that parameter have 379 a net positive effect on species coexistence and richness (i.e., temporal niche effects were 380 strong). 381

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All simulations and statistical analyses described were performed using R v.3.3.3 (R 383 Development Core Team 2013). As part of the Supporting Information, we have provided an 384 R script with code corresponding to the dynamic model simulations used in our study 385 ("R code for dynamic models.R"). The code provides two functions, one for producing 386 model simulations used to assess bias in metrics of temporal population variability 387 (represented schematically in Fig. S1 in Appendix S2) and the other for producing model 388 simulations used to fit the dynamic model to the tree census data (represented schematically 389 in Fig. 3). 390

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393 **Results**

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In our first regression analysis, we found that temporal population variability showed a 395 substantial positive correlation with absolute latitude for the 21 forest plots examined, where 396 the variability was calculated as the mean absolute change in tree species abundance per year, 397 using the plot datasets rarefied by number of individuals ($\Delta N_{r,c}$; linear regression on log-log 398 axes: $R^2 = 0.350$, slope = 0.251, $P = 4.76 \times 10^{-3}$, n = 21; Fig. 4A; Appendix S4). In our second 399 regression analysis, temporal population variability was calculated using the plot datasets 400 rarefied by plot area and the number of individuals, in a way that conserved temporal 401 correlations of species abundances $(\overline{\Delta N_{ra, c}})$. In this analysis, we still found a substantial 402 positive correlation of variability with absolute latitude, regardless of whether we used data 403 from all 21 plots ($R^2 = 0.243$, slope = 0.326, P = 0.0233, n = 21; Fig. 4B; Appendix S4); the 404 20 plots that excluded Mudumalai ($R^2 = 0.416$, slope = 0.354, $P = 2.13 \times 10^{-3}$, n = 20; Fig. 4C; 405 Appendix S4); or the 17 plots that excluded Mudumalai, Luquillo, Palanan and SERC ($R^2 =$ 406 0.469, slope = 0.357, $P = 2.42 \times 10^{-3}$, n = 17; Fig. 4D; Appendix S4). For the first of these 407 three regressions (using 21 plots), the Shapiro–Wilk test and quantile plot indicated non-408 normality (Fig. S12 in Appendix S4). Thus, we also performed a non-parametric regression, 409 which again exhibited a substantial positive correlation (Spearman's $\rho = 0.643$, $P = 2.13 \times 10^{-10}$ 410 3 , n = 21). 411

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From the fits of our dynamic model to data from the forest plots, we found that for 11 of the 413 20 plots considered (excluding Mudumalai, see Materials and methods), at least one 414 combination of A and τ (the two parameters governing temporal population variability) gave 415 416 values of the simulated temporal population variability and cdfs of temporal correlations of species abundances reasonably close to the observed data, with small typical errors of < 10%417 that we call "good model fits" (Figs. S14–S16 and Table S6 in Appendix S5). Two of the 418 remaining nine plots (Lenda and Luquillo) had a combination of A and τ with a typical error 419 of 10–10.5%, which we call "marginally good model fits" (Figs. S15 and S16, and Table S6). 420 However, for the remaining seven plots (Gutianshan, Lambir, Pasoh, SERC, Sinharaja, 421 Wabikon and Yasuni), the best-fit combination gave larger typical errors (> 11.2%; Table 422 423 S6).

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For 11 of the 13 plots with good or marginally good model fits, the best-fit models 425 (corresponding to the best-fit combinations of A and τ) were within a parameter regime 426 where A was sufficiently large that further increases in τ would likely increase the mean 427 number of extinctions (Fig. 5 and Figs. S17 and S18 in Appendix S5). For the remaining two 428 plots (Khao Chong and Lenda), the best-fit models were within a parameter regime where A 429 was sufficiently small that further increases in τ would likely have negligible effect on the 430 mean number of extinctions (Figs. S17 and S18). However, only six of the 13 plots (Khao 431 432 Chong and Lenda were not among these six plots) had best-fit models that were within a parameter regime where τ was sufficiently large that further increases in A would likely 433 increase the mean number of extinctions (Figs. 5, S17 and S18). The best-fit models for 434 seven of the 13 plots were within a parameter regime where τ was sufficiently small that 435 further increases in A would likely decrease (five plots) or have negligible effect (two plots) 436 on the mean number of extinctions (Figs. 5, S17 and S18). 437

438 439

440 **Discussion**

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For the tree communities in the 21 forest plots that we examined, we documented a strong 442 443 trend of increasing temporal population variability of tree species with absolute latitude. The temporal population variability increased by three- to four-fold over 45 degrees of latitude, 444 445 from tropical forests at the equator to temperate forests in the northern hemisphere. A previous study (Condit et al. 2006) of ten of the 21 forest plots that we used in this study 446 447 identified a pattern of wider ranges of tree demographic rates in forests with fewer tree species, which is consistent with our finding of greater temporal population variability in the 448 extratropical plots. However, the authors of that study (Condit et al. 2006) did not interpret 449 their results in terms of whether greater temporal population variability increases the 450 propensity for local extinctions of tree species. 451

452

453 If temporal population variability increases the extinction risk of tree species in our forest

454 plots, then the latitudinal increase in temporal population variability could indicate

455 increasingly negative effects on tree species coexistence for higher latitude plots. This could

456 partially explain the latitudinal decline in tree species richness at local scales (Ricklefs & He,

457 2016; Appendix S4). In this regard, results using our mechanistic model showed that for five

of the 13 plots with good or marginally good model fits, increases in temporal population 458 variability would likely result in a greater rate of species loss. For the remaining eight plots, 459 increases in temporal population variability would likely result in a greater rate of species 460 loss or a rate of species loss that was lower or almost the same, depending on whether the 461 increase in temporal population variability was caused by greater temporal correlation in 462 environmental conditions or greater fitness variation among species. Thus, these results 463 indicate that for the tree communities that we examined, greater temporal population 464 variability has mixed net effects on tree species coexistence, such that extinction effects can 465 outweigh temporal niche effects or vice versa. Therefore, temporal population variability 466 makes no clear negative or positive contribution to the latitudinal gradient in local tree 467 species richness. Our results provide a more nuanced perspective on the effects of temporal 468 population variability on local tree species richness, which does not only focus on the 469 positive temporal niche effects (Usinowicz et al. 2017). 470

471

Overall, our results suggest that temporal population variability is one of the factors with a 472 473 substantial impact on local tree species richness, but we emphasize that it is by no means the only factor. In communities such as the ones that we have examined, the effects of temporal 474 475 population variability on species coexistence are moderated by other local processes such as Janzen-Connell effects (Janzen 1970; Connell 1971; Bever et al. 1997; Bever 2003; Mangan 476 et al. 2010) and resource partitioning (Meinzer et al. 1999; Turner 2008), and regional 477 processes such as dispersal from regional communities of varying composition and richness 478 (Ricklefs 1987, 2004; Hubbell 2001) (Fig. 1). Therefore, an important next step is to quantify 479 the relative contributions of different local and regional processes to the maintenance of local 480 species richness. Most studies to date have focused on either local (e.g., temporal fluctuation-481 dependent mechanisms; Cáceres 1997; Adler et al. 2006; Angert et al. 2009; Usinowicz et al. 482 2012, 2017; this study) or regional (e.g., dispersal; Hubbell 2001; Volkov et al. 2003, 2007) 483 processes. A recent study (Ricklefs & He 2016) did partition variation in local tree species 484 richness in 47 CTFS-ForestGEO forest plots according to local and regional processes, but 485 used a statistical modeling approach that did not incorporate the actual mechanisms by which 486 the processes affect richness. An alternative approach was used in other recent studies 487 (Kalyuzhny et al. 2015; Fung et al. 2016), which fitted mechanistic models with dispersal, 488 demographic stochasticity and local-scale temporal environmental fluctuations to the tree 489 communities at the BCI and Pasoh CTFS-ForestGEO plots. However, these studies simply 490 assumed that the regional community followed a log-series species abundance distribution. In 491

- 492 contrast, other studies (Graham & Moritz 2006; Huntley *et al.* 2014) have considered the
 493 regional community more explicitly and emphasized the negative effects of temporal
 494 environmental fluctuations on richness at the long-term speciation–extinction balance.
- 495

Our modeling analyses also help to shed light on the general question of how complex a 496 dynamic, mechanistic model needs to be to accurately capture temporal population variability 497 in an ecological community. Drift-only models with constant community sizes are inadequate 498 in most cases (Chisholm & O'Dwyer 2014; Chisholm et al. 2014; Kalyuzhny et al. 2014; 499 Fung et al. 2016). Our analyses suggest that adding temporal variation in recruitment rates 500 (Chisholm et al. 2014; Kalyuzhny et al. 2015; Fung et al. 2016) and community sizes is 501 generally sufficient to accurately capture temporal population variability. But in the six tree 502 communities where our mechanistic model substantially under- or over-estimated observed 503 temporal population variability, additional mechanisms are required to get a better 504 approximation of the true temporal dynamics. These additional mechanisms include temporal 505 variation in mortality rates of species (Chisholm et al. 2014) and resource partitioning 506 (Meinzer et al. 1999; Turner 2008), which increase and decrease temporal population 507 variability, respectively. 508

509

A future research priority is to determine specific environmental variables that drive 510 fluctuations of tree species abundances in specific forests. Over the time period studied, the 511 forest plots we examined were buffeted by a range of environmental factors, such as drought 512 (Condit et al. 1996), ground-fires (Baker et al. 2008), hurricanes/typhoons (Yap et al. 2016; 513 Hogan et al. 2018) and insect herbivory (Gonzalez-Akre et al. 2016). Although many such 514 factors can be identified, they are often idiosyncratic in nature and often act on population 515 abundances via nonlinear causal pathways. Thus, the effects of different factors on temporal 516 population variability are difficult to characterize in a simple way. For example, for the forest 517 plots that we examined, a liner regression showed that temporal variability in mean monthly 518 temperature and precipitation accounted for about a quarter to a third of the variation in the 519 logarithm of temporal population variability (Appendix S4), leaving a substantial amount of 520 variation unexplained. Our hope is that more analyses of long-term datasets of forest 521 dynamics will permit better identification of key environmental drivers. This will ultimately 522 facilitate the development of parsimonious predictive models specifying the future dynamics 523 of forest tree communities. 524

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745 Figures



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Figure 1. Schematic diagram showing the processes structuring a local community, including 747 its species diversity. The local community is embedded within a regional community, which 748 is governed primarily by regional-scale processes. Because the regional community is much 749 larger than the local community, dispersal and migration of individuals from the local to the 750 regional community has negligible effect on regional community structure, but dispersal and 751 migration of individuals from the regional to the local community does have substantial 752 effects on local community structure. Local community structure is also affected substantially 753 by local-scale processes, including mechanisms mediated by temporal changes in abundances 754 755 of species populations, which are the focus of our study.



Figure 2. Map of the 21 CTFS–ForestGEO forest plots considered in this study, with

corresponding numbers of tree censuses in parentheses. BCI, SCBI and SERC stand for Barro

759 Colorado Island, Smithsonian Conservation Biology Institute, and Smithsonian

760 Environmental Research Center, respectively.

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- Figure 3. Schematic diagram showing the processes operating in the dynamic, mechanistic model that we fitted to tree census data from each of
- 20 forest plots. The diagram shows the processes operating over one model time-step. In the representations of the model communities, the
- yellow and red backgrounds refer to environmental conditions favoring the yellow and red species, respectively.



771 Figure 4. (A) Relationship between temporal population variability and absolute latitude for 772 773 the 21 CTFS-ForestGEO forest plots considered in this study. Temporal population variability was measured as the mean absolute change in tree species abundance per year, 774 775 with rarefaction to standardize the number of individuals and correction to account for the different sets of initial species abundances in each pair of consecutive censuses (Metric 1; 776 $\overline{\Delta N_{r,c}}$). The line represents a linear regression between the logarithms of the two variables, 777 with the 95% confidence interval shown as the shaded region. (B) is the same as (A) except 778 that temporal population variability was measured with rarefaction to standardize the plot 779 area and number of individuals, in a way that conserved temporal correlations of species 780 abundances (Metric 2; $\Delta N_{ra, c}$). (C) is the same as (B) except that the plot at Mudumalai was 781 excluded. (D) is the same as (B) except that the plots at Mudumalai, Luquillo, Palanan and 782 SERC were excluded. Each empirical value (black dot) is labeled according to the plot it 783

- refers to: BCI (Barro Colorado Island), CHA (Changbaishan), EDO (Edoro), FUS (Fushan),
- 785 GUT (Gutianshan), HKK (Huai Kha Khaeng), KHA (Khao Chong), KOR (Korup), LAP (La
- Planada), LAM (Lambir), LEN (Lenda), LUQ (Luquillo), MOS (Mo Singto), MUD
- 787 (Mudumalai), PAL (Palanan), PAS (Pasoh), SCBI (Smithsonian Conservation Biology
- 788 Institute), SERC (Smithsonian Environmental Research Center), SIN (Sinharaja), WAB
- 789 (Wabikon) and YAS (Yasuni).

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805 Figure 5. Predicted mean number of extinctions (different colors) from simulations of a 806 dynamic, mechanistic model for four of the CTFS-ForestGEO forest plots considered in this 807 study. Each panel shows the predicted mean number of extinctions for different combinations 808 of values of two key model parameters: the variance of the lognormal distribution of possible 809 fitness values for each model species (A) and the correlation time determining how frequently 810 the fitness values of all species were redrawn due to changes in environmental conditions (τ) 811 (see Fig. 3). For each plot, the combination of parameter values giving the smallest median 812 (typical) error (with respect to the temporal population variability and temporal correlations 813 of species abundances), ξ , is marked with a yellow dot. Combinations of parameter values 814 producing errors below ξ 25%–50% of the time are marked with orange dots, whereas 815 combinations of parameter values producing errors below ξ 12.5%–25% of the time are 816 marked with brown dots. Together, these are the "best-fit combinations" (see text in 817

- 818 Appendix S5 for details). The four plots shown span a wide latitudinal gradient, with
- latitudes of 1.56°N, 9.15°N, 24.8°N and 38.9°N for Edoro, BCI, Fushan and SCBI
- 820 (Smithsonian Conservation Biology Institute), respectively.

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Regional community structure is affected by regional-scale processes, e.g.

- Historical biogeographic events
- Climate gradients
- Soil gradients
- Speciation



Local community structure is affected by regionalscale processes via dispersal and migration of individuals from the regional community.

Local community structure is also affected by localscale processes, e.g.

- Janzen-Connell effects
- Resource partitioning
- Mechanisms mediated by temporal changes in species abundances (focus of this study)



X replacement individuals are randomly chosen, with X defined Species composition of model according to how the number of community initialized according to individuals changes between first census in rarefied sample of a censuses in the rarefied sample. In forest plot. With probability $1/\tau$, the the example shown below, X = 1. environmental conditions Initial fitness of each species, which One individual is The probability of a replacement change randomly, with randomly chosen with individual belonging to a certain determines its recruitment rate, is species fitnesses redrawn equal probability and species is proportional to the drawn randomly from a lognormal from the lognormal removed, representing number of recruits produced by distribution with mean 1 and variance distribution. mortality. that species. A. This represents a randomly chosen set of environmental conditions (background color in diagram). $\mathbf{X} \mathbf{\bullet}$ \bigcirc = 0 generations Probability With probability $1 - (1/\tau)$, density t = 1/10the environmental conditions do not change.









Temporal population variability (yr⁻¹)



This article is protected by copyright. **Operations** time (generations)

Variance of fitness values